

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**  
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Sheet 1 of 2

ATTORNEY DOCKET NO.

5077-000064/CPA

SERIAL NO.

10/622,430

APPLICANT

Mamoru Soga et al.

FILING DATE

GROUP

1714

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	CS	5,772,741 A	06/1998	Spinelli		
2.		6,336,966 B1	01/2002	Coca et al.		
3.		6,436,178 B1	08/2002	Hosmer		
4.		6,420,479 B1	07/2002	Phan et al.		
5.		6,201,099 B1	03/2001	Petersen et al.		
6.		6,150,468 A	11/2000	Schoenberg et al.		
7.		5,371,147 A	12/1994	Spinelli et al.		

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	CS	1008634 A1	06/2000	Europe			
2.		10-140055	05/1998	Japan			
3.		2000-154341	06/2000	Japan			

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
1.	CS	Kanaoka, Shokyoku; Sawamoto, Mitsuo; and Higashimura, Toshinobu; "Star-Shaped Polymers by Living Cationic Polymerization. 2. Synthesis of Amphiphilic Star-Shaped Block Polymers of Vinyl Ethers with Hydroxyl Groups"; Macromolecules; Vol. 24, No. 21; October 1991; pp. 5741-5745.

Examiner:

Carrie Shaw

Date Considered:

4/25/05

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<p>FORM HDP-1449 (Based on Form PTO-1449)</p> <p><b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE CITATION</b>          (Use several sheets if necessary)</p> <p>Sheet 2 of 2</p>	ATTORNEY DOCKET NO.	SERIAL NO.
	5077-000064/CPA	14/622,030
	APPLICANT	
	Mamoru Soga et al.	
	FILING DATE	GROUP
		1714

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
2.	C2	Kanaoka, Shokyoku; Omura, Takahiro; Sawamoto, Mitsuo; and Higashimura, Toshinobu; "Star-Shaped Polymers by Living Cationic Polymerization. 3. Synthesis of Heteroarm Amphiphilic Star-Shaped Polymers of Vinyl Ethers with Hydroxyl or Carboxyl Pendant Groups"; Macromolecules; Vol. 25, No. 24; 1992; pp. 6407-3413.
3.	↓	Kanaoka, S. Kiriya, K.; and Higashimura, T.; "Synthesis and Functions of Amphiphilic Star Block and Heteroarm Star Polymers by Living Cationic Polymerization"; Prepr. 7 <sup>th</sup> SPSJ International Polymer Conference (IPC 99); 1999; p. 235.
4.	↓	Kanaoka, S.; "Precision Synthesis of Functional Star-Shaped Polymers by Living Cationic Polymerization"; Polymer Preprints, Japan, Vol. 49, No. 1; 2000; and partial English translation thereof.

Examiner: <u>Calvin Shosh</u>	Date Considered: <u>4/25/05</u>
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